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Final draft

**Resolution of the mediators of *in vitro* oxidative reactivity in size-segregated fractions
that may be masked in the urban PM₁₀ cocktail**

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1 **Highlights**

- 2 • The oxidative reactivity (OR) of size segregated PM was tested at a traffic site
- 3 • Ultrafine and fine PM size fractions caused more DNA damage than coarse PM
- 4 • PM exhibited more OR in comparison to manufactured carbon black particles
- 5 • Zn (and Fe) were implicated in the generation of reactive oxygen species in PM
- 6 • Size, surface area and metals were important particle characteristics for OR

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Final draft

1 **Abstract**

2 PM₁₀ (particulate matter 10 microns or less in aerodynamic diameter) has consistently been
3 linked with adverse human health effects, but the physicochemical properties responsible for
4 this effect have not been fully elucidated. The aim of this work was to investigate the
5 potential for carbon black (CB) particles and PM to generate ROS (Reactive Oxygen Species)
6 and to identify the physicochemical properties of the particles responsible for *in vitro*
7 oxidative reactivity (OR). PM₁₀ was collected in 11 size fractions at a traffic site in Swansea,
8 UK, using an Electrical Low Pressure Impactor (ELPI). The PM physicochemical properties
9 (including size, morphology, type, and transition metals) were tested. The plasmid scission
10 assay (PSA) was used for OR testing of all particles. The ultrafine and fine PM (N₂₈₋₂₃₉₉; 28 –
11 2399 nm) caused more DNA damage than coarse PM (N_{2400-10,000}), and the increased capacity
12 of the smaller particles to exhibit enhanced (OR) was statistically significant (p<0.05). The
13 most bioreactive fraction of PM was N₉₄₋₁₅₅ with a toxic dose (TD₅₀; mass dose capable of
14 generating 50% plasmid DNA damage) of 69 µg/ml. The mean TD₃₅ was lower for PM than
15 CB particles, indicating enhanced OR for PM. A difference between CB and PM in this study
16 was the higher transition metal content of PM. Zn was the most abundant transition metal (by
17 weight) in the ultrafine-fine PM fractions, and Fe in the fine-coarse PM. Through this
18 comparison, part of the observed increased PM OR was attributed to Zn (and Fe). In this
19 study PM-derived DNA damage was dependent upon; 1) particle size, 2) surface area, and 2)
20 transition metals. This study supports the view that ROS formation by PM₁₀ is related to
21 physicochemistry using evidence with an increased particle size resolution.

22

23 **Key Words:** Oxidative reactivity, DNA damage, ELPI, PM₁₀, plasmid scission assay (PSA)

1 **1 Introduction**

2 Through respiration, the lung is exposed to a variety of xenobiotics. There is now a well
3 established link between air pollution and associated adverse health impacts (e.g. Stone et al.,
4 2007; Chuang et al., 2013), especially for those in susceptible groups e.g. children, the elderly
5 and those with pre-existing conditions e.g. asthma. Of particular current concern is ambient
6 PM₁₀; particulate matter 10 µm and below. These particles are suspended in the atmosphere
7 and can be both naturally, e.g. crustal, volcanic, and biological, and anthropogenically, e.g.
8 traffic and industrially produced (Jones and Bérubé, 2011; Brown et al., 2011). In urban
9 areas PM₁₀ is a heterogeneous “cocktail” of particle types, morphologies, chemistries, and
10 sizes, constantly changing in response to factors including meteorological conditions, season,
11 and geographical location (e.g. Gu et al., 2013; Moreno et al., 2013).

12

13 The oxidative capacity of inhaled particles is strongly implicated as a cause of PM₁₀ mediated
14 harmful health effects (Ayres et al., 2008; Montiel-Dávalos et al., 2010; Mehta et al., 2013).

15 Oxidative stress is initiated when there is imbalance between oxidants and antioxidants,
16 caused either by an increase in oxidants or a decrease in antioxidants (Chuang et al., 2012). It
17 disrupts the normal functioning of cellular macromolecules, such as lipids, proteins and
18 DNA, and has been linked with respiratory and cardiovascular diseases, pancreatitis and
19 cancer (e.g. Stone et al., 2007; Chuang et al., 2013). The Plasmid Scission Assay (PSA) is a
20 well-established technique for assessing the oxidative capacity, i.e. reactive oxygen species
21 (ROS) - generating capabilities of PM (Lingard et al., 2005; Moreno et al., 2004; Miller et al.,
22 2012). The generation of ROS by particles has been proposed to result from Fenton-type
23 reactions (reactions between Fe²⁺ and hydrogen peroxide (H₂O₂), which forms the highly
24 reactive hydroxyl radical; •OH) catalysed by transition metals, including Fe, V, Cr, Co, Ni,
25 Cu, Zn and Ti. Alternatively ROS may arise from direct generation on the particle surface,

1 which is particularly significant for the ultrafine particles due to high surface area to mass
2 ratios (Baulig et al., 2009). It has also been proposed that the organic compounds associated
3 with PM₁₀ generate ROS, as well as endotoxins from bacterial sources (Bonner, 2007).

4

5 The particle properties which are responsible for ROS generation remain unclear (Scapellato
6 and Lotti, 2007). Particle size/surface area and transition metal content are two properties
7 which have previously been implicated in particle toxicity (Oberdörster et al., 2005; Koshy et
8 al., 2009; Chuang et al., 2013). Developing our understanding of the particle properties
9 responsible for the observed health impacts from PM₁₀ is vital for developing more source-
10 specific air quality policy and for PM reduction targeting (Gil et al., 2010). PM from a
11 specific source generally has a set of characteristics associated with it, e.g. non-exhaust traffic
12 particles in PM₁₀ are generally coarse with constituent metals including Ba, Cu, Fe, Zn and
13 Cr (Kwak et al., 2013). If we learn that these types of particles are especially harmful to
14 human health for example, air pollution reductions could be targeted to that source, e.g. funds
15 directed towards improvements in tyre/brake manufacturing and trialling of road wetting in
16 the case of non-exhaust traffic.

17

18 The aim of this work was to investigate the potential for CB particles and size-segregated
19 PM₁₀ to generate ROS. PM₁₀ from an urban traffic hotspot was size-segregated using an ELPI
20 and the oxidative capacity of the 11 different size fractions was assessed. The enhanced size-
21 segregation of the PM in this study in comparison to previous studies allowed separation of
22 the complex PM-mixture into parts, thus allowing a clearer understanding of the role of size,
23 surface area and other factors e.g. metal content to be derived. The objective was to resolve
24 the particle constituent(s) responsible for ROS generation that may be masked in the urban
25 PM₁₀ cocktail using high resolution size segregation and comparison with CB.

26

1 **2 Materials and Methods**

2 **2.1 Sampling**

3 Particles were collected into 11 size fractions between 28 nm and 10 μm , as previously
4 described (Price et al., 2010). In brief, particles were collected onto aluminium substrates
5 using an Electrical Low Pressure Impactor (ELPI; Dekati, Finland) at a UK Air Quality
6 Management traffic site in the coastal city of Swansea, south Wales, UK. The site is a major
7 thoroughfare for commuting vehicles into the city, and 16,000 vehicles pass the sampling
8 point every day. Local industry, biological, sea salt particles and others also contribute to the
9 “urban cocktail”. Sampling took place during a ten month semi-continuous campaign
10 (January – October 2008). Prior to testing using the PSA, particles were stored in a freezer.
11 Particles were removed from substrates using a novel freeze-dry protocol (Price et al., 2010).
12 Due to the particle yields on individual substrates being below the required mass for the *in*
13 *vitro* assays, samples across the entire sampling period were combined for each size fraction.
14 The particle sizes in this study are dry particle sizes as determined by the ELPI impactor
15 separation. Size classifications are given as N_{x-y} where x is the D50% cut off for the impactor
16 stage and y is the D50% cut off diameter for the stage above. All sizes are given in nm unless
17 otherwise stated.

19 **2.2 Field Emission Scanning Electron Microscopy (FESEM)**

20 FESEM was used for particle imaging following standard procedures (Jones et al., 2006).
21 Aluminium substrates onto which PM had been collected were dissected and adhered to 12.5
22 mm aluminium stubs using Epoxy resin (Araldite™). Stubs were coated to improve imaging
23 with evaporated gold-palladium (Au-Pd 60: 40), using a Bio-Rad SC500 sputter coater in an
24 inert argon atmosphere, to a thickness of 20 nm. A Veeco FEI Philips XL30 environmental
25 scanning electron microscope with a field emission gun was used for specimen imaging

1 (accelerating voltage 5 kV – 20 kV, working distance 5 mm-10 mm, 50 x to 200,000 x
2 magnification).

3

4 **2.3 Energy Dispersive X-ray (EDX)**

5 For EDX, particles adhered to aluminium stubs using epoxy resin (Araldite™) were carbon
6 coated using a K450 sputter coater (Quorum, UK). Each of the eleven size fractions collected
7 at the traffic site were analysed using an INCA EDX (Cambridge Instruments). Two hundred
8 and fifty individual analyses were carried out for each size fraction of PM₁₀ as recommended
9 by previous investigations (Tasić et al., 2006) using a grid system (40s, detection limit of 1
10 weight percent). Due to their homogeneity 100 spectra were analysed for the CB particles.

11

12 **2.4 High Resolution-Transmission Electron Microscopy (HR-TEM)**

13 To investigate the insoluble particles collected during the measurement campaign, and to
14 supplement the FESEM investigations, sampled particles were visualised using HR-TEM.

15 Particles were suspended in molecular biology (MB) grade water (Sigma-Aldrich, UK; 2 µg
16 PM/1 µl H₂O) and 40 µl of this suspension was pipetted onto the surface of a 200 mesh Au
17 grid with carbon film (Agar Scientific). Samples were imaged using a Philips CM12 HR-
18 TEM at 80 kV accelerating voltage. Images were taken with a SIS MegaView III digital
19 camera.

20

21 **2.5 Plasmid Scission Assay (PSA)**

22 Cell-free *in vitro* techniques are useful initial indicators for the OR of different substances.

23 The PSA was chosen for use in this study due to the large number of tests required; it allowed
24 comparison of eleven size fractions of PM (n = 5), while providing results which have been
25 generally shown to correlate with other cell-free *in vitro* techniques (Chuang et al., 2011).

26

1 The plasmid Φ X174 RF (Promega, London, UK) was used in this study to assess ROS and/or
2 metal-based damage caused to DNA by both CB particles and PM₁₀. The assay uses purified
3 bacterial DNA (without a cell wall or other cellular components) and therefore can be used to
4 investigate the ROS-sensitivity of different samples, rather than replicate conditions *in vivo*.
5 It is an extremely useful comparative technique and has previously been used to investigate
6 the potential for PM₁₀ to damage bacterial DNA (Moreno et al., 2004; Lingard et al., 2005;
7 Chuang et al., 2011; Chuang et al., 2012; Reche et al., 2012).

8
9 Particles were suspended in MB H₂O at concentrations between 10 μ g/ml and 1 mg/ml. The
10 wide dose range simulated environmental exposure to acute exposure conditions for
11 comparison. Increased resolution of the lower dose range (up to 200 μ g/ml) was used to
12 investigate the more “biologically-relevant” concentrations, i.e. lower concentrations which
13 the public is more likely to be exposed to. Nineteen microlitres of the suspensions were then
14 incubated with 200 ng Φ X174 RF DNA for 6 hours at room temperature and gently agitated
15 (Vortex Genie 2; Jencons). Replicates (n=5) were used to assess the precision of the assay. A
16 negative control was run with each batch. The negative control consisted of 19 μ l MB grade
17 H₂O incubated with the DNA, with damage levels <10% accepted. The enzyme PST-I
18 (Phenol-Sulfotransferase; Promega, London, UK) was used as a positive control, achieving
19 100% damage during the 6 hour incubation. Following incubation, 3.33 μ l loading dye
20 (Promega, London, UK) was added to each sample. Samples were electrophoresed on a gel
21 consisting of 0.6% agarose (for separation of large DNA fragments) and 0.25% ethidium
22 bromide (for visualisation under UV light when intercalated into DNA). The gel was run for
23 16 hours at 30 V in a 1 x Tris-Borate-EDTA buffer. Gels were imaged (Visionworks®
24 software, Ultraviolet Products Ltd., UK) to produce an image that could be semi-quantified
25 using Genetools® (Syngene® Systems, UK) via densitometric analysis. Damage levels were
26 derived from the proportion of damaged DNA relative to the proportion of undamaged DNA,

1 and this was given as a percentage damage value. The negative control (MB H₂O) averaged
2 damage percentage was subtracted from all samples. At even the lowest concentration (10
3 µg/ml), approximately 25% DNA damage was achieved by both CB particles and PM₁₀. This
4 is either due to intrinsic OR, or represents a baseline to the measurement technique. This was
5 not removed for the calculation of TD₅₀s as it has also been identified in other studies (e.g.
6 Reche et al., 2012). In that study, this baseline was not accounted for and therefore for
7 comparison with previous work this was also not accounted for in our calculations.

8

9 **2.6 Carbon black (CB) particles**

10 CB particles were used for comparison with PM₁₀ to help to elucidate the importance of
11 transition metals in the generation of observed OR. Tested particles were two commercially
12 available CBs (CB-1 and CB-2). CB particles were chosen to mimic the carbon particles
13 which predominate in the nano and fine fractions of PM₁₀; single particles, chains and
14 agglomerates of spherical/sub-spherical carbon.

15

16 **2.7 Data analysis**

17 Microsoft Excel and SPSS (version 21; SPSS Inc., USA) were used for basic statistical
18 analyses and data plotting. Toxic Dose 50% (TD₅₀) values generated from the PSA were
19 calculated for each size fraction by applying a non-linear regression model (R² value, >0.84
20 for each size fraction) as used in previous studies (Chuang et al., 2012). The independent *t*-
21 test (SPSS version 21; SPSS Inc., USA) was used to compare the mean TD₅₀s for size-
22 fractionated PM₁₀ in three size fractions; UF (ultrafine, defined here as 28 nm – 262 nm), fine
23 (263 nm – 2399 nm) and coarse (2400 nm – 10,000 nm). The level of significance for all
24 statistical analyses was chosen as $p < 0.05$.

25

26 **3 Results and discussion**

1 **3.1 Carbon black (CB) physicochemistry**

2 CB particles were used in this study for comparison with heterogeneous airborne PM₁₀
3 mixtures. The physicochemistry of the CB particles (Table 1) highlights similarities with sub-
4 fractions of PM₁₀, particularly the ultrafine-fine PM fractions which are dominated by
5 spherical to sub-spherical carbon particles generated by moving and stationary exhausts
6 (Chuang et al., 2011). For both CB-1 and CB-2, the main constituents (by weight %) were
7 carbon and oxygen. Contamination of CB-1 was below 1% (S, Cu, Si) and for CB-2 below
8 5% (Cu, Fe, Pb, S, Si).

9
10 **Table 1** Summary of carbon black physicochemistry. Particle size and morphology obtained
11 from FESEM analysis, and contamination percentage from EDX analysis. Contaminant
12 defined as any unexpected element.

Particle	Particle size (nm)	Morphology	Contamination (%)
Carbon black (CB-1)	100 - 250	Sub-spherical	< 1 (S, Cu, Si)
Carbon black (CB-2)	50 - 200	Sub-spherical	< 5 (Cu, Fe, Pb, S, Si)

13

14 **3.2 PM₁₀ physicochemistry**

15 Particles from a number of different sources were identified at the urban traffic site (Figure
16 1).

17

18 Combustion particles were the most abundant particle type collected at the site by number.

19 Individual soot particles were resolved in size fractions below 100 nm (Figures 1a and 1b),

20 while agglomerates and clusters (Figure 1c) were also found in the fine fractions. These were

21 predominantly carbon particles, but due to the combustion formation process, they were often

22 also associated with surface-bound transition metals (Table 2; Donaldson et al., 2003).

23

1 **Table 2** Transition metal content of size-segregated PM at the traffic site

Size fraction (nm)	Transition metal content (%)	Specific contributors (%)
N ₂₈₋₅₅	4.7	Zn (3.6%), Fe (1.0%)
N ₅₆₋₉₃	4.5	Zn (3.2%), Fe (1.1%), Mn (0.2%)
N ₉₄₋₁₅₅	15.4	Zn (14.7%), Fe (0.6%)
N ₁₅₆₋₂₆₂	23.6	Zn (23.1%), Fe (0.5%)
N ₂₆₃₋₃₈₃	14.1	Zn (11.0%), Fe (2.9%), Mn (0.3%)
N ₃₈₄₋₆₁₅	23.2	Zn (22.6%), Fe (0.5%)
N ₆₁₆₋₉₅₂	9.4	Fe (7.4%), Zn (1.0%), Mn (1.0%)
N ₉₅₃₋₁₆₀₉	12.3	Fe (10.5%), Mn (1.3%), Zn (0.4%)
N ₁₆₁₀₋₂₃₉₉	13.7	Fe (11.2%), Zn (1.0%), Mn (1.4%)
N ₂₄₀₀₋₄₀₀₉	11.5	Fe (8.0%), Zn (2.6%), Mn (0.7%), Cu (0.1%)
N _{4010-10,000}	10.5	Fe (9.2%), Mn (0.5%), Cu (0.3%), Ti (0.2%)

2

3 In urban air these are generally the most numerous particles in PM₁₀, especially in the fine

4 and nano-size fractions. Combustion-derived nanoparticles are emitted from sources

5 including mobile and stationary engines, and traffic combustion particles are considered to be

6 the most harmful common particles to human health (Reche et al., 2012). Industrial particles

7 were discovered in the fine and coarse size fractions (Figure 2), and were of respirable size.

8

9 Industrial particles have previously been linked with bioreactivity (Brown et al., 2011). The

10 chemical composition of these particles varied but included transition metals identified in the

11 EDX analysis (Table 2). They were distinct from naturally derived or soot particles due to

12 their larger size and often spherical morphologies from high temperature formation processes

1 and non-carbon chemical signatures. Mineral particles dominated the coarse fractions of
2 PM₁₀ and were considered to be fugitive dust. These particles are generally derived from
3 wind-driven erosion of soil components, resuspension of road dust, and/or construction
4 activities. Fugitive dust components would have contributed to the higher levels of Fe, Mg,
5 and Mn in the fine–coarse particles (Table 2). Marine particles were also found in the coarse
6 fractions of PM (Figure 1e). These can be either directly sourced from the sea or resuspended
7 from road salt during the winter months. Biological particles were identified in some
8 samples, and generally resided in the coarse fractions. Biogenic particles included spores
9 (Figure 1d) which have been linked to allergenic reactions (Donaldson et al., 2003).

10

11 **3.3 Particle oxidative reactivity (OR)**

12 **3.3.1 Carbon black (CB) particles**

13 As the mass-based concentration of CB to which the DNA was exposed to increased, the
14 percentage of DNA damaged during the incubation increased (Figure 3). Neither of the CBs
15 were bioreactive enough to generate a TD₅₀; samples unable to generate 50% plasmid DNA
16 damage are considered to be non-bioreactive (Koshy, 2010). The CBs showed very similar
17 changes in OR with increasing concentration; probably related to their nearly identical sizes
18 and compositions. CB-1 had a TD₃₅ of 132 µg/ml while CB-2 was not reactive enough to
19 generate a TD₃₅.

20

21 **3.3.2 PM₁₀**

22 Concentration-response curves were identified for all PM₁₀ size fractions (Figure 4) with the
23 associated TD₂₀s, TD₃₅s and TD₅₀s given in Table 3 for comparison with previous studies
24 using the PSA. As the concentration of particles to which the DNA was exposed to increased,
25 the percentage of DNA damaged during the incubation increased. This was identified for all
26 size fractions. The shape of the concentration-response curve was similar for particles below

1 2400 nm. In the coarse range (>2400 nm) a flattened response was found. This suggests that
 2 increasing the concentration higher than 100 µg/ml had a limited effect on the OR for coarse
 3 PM.

4
 5 The most bioreactive fractions of PM in Swansea were dominated by combustion-derived
 6 nanoparticles (CDNP) from the street canyon (Figure 1). CDNP were likely to have
 7 originated from vehicle exhausts. Soot particles with nano-powder carbon cores have
 8 previously been linked with ROS production (Rouse et al., 2008; Chuang et al., 2011). The
 9 least bioreactive fractions of PM were dominated by mineral and sea salt particles. Therefore,
 10 the differential chemistry of the coarse, fine and nano-fractions in this study (Table 2) may be
 11 linked to source-specific ROS profiles.

12
 13 **Table 3** Comparison of TD_s for this study compared to previous studies. TD_x in µg/ml. TSP =
 14 Total suspended particulate. WS = Water soluble.

Particle size (nm)	TD ₂₀	TD ₃₅	TD ₅₀	Study description	Reference	Proposed biological driver
N ₂₈₋₅₅	13	34	93	Street, Wales, UK	This study	Particle
N ₅₆₋₉₃	11	30	80	Street, Wales, UK	This study	size/surface
N ₉₄₋₁₅₅	11	27	69	Street, Wales, UK	This study	area, Zn, (Fe)
N ₁₅₆₋₂₆₂	15	53	192	Street, Wales, UK	This study	
N ₂₆₃₋₃₈₃	11	38	126	Street, Wales, UK	This study	
N ₃₈₄₋₆₁₅	10	34	120	Street, Wales, UK	This study	
N ₆₁₆₋₉₅₂	20	30	116	Street, Wales, UK	This study	
N ₉₅₃₋₁₆₀₉	17	49	145	Street, Wales, UK	This study	
N ₁₆₁₀₋₂₃₉₉	18	54	162	Street, Wales, UK	This study	
N ₂₄₀₀₋₄₀₀₉	12	91	677	Street, Wales, UK	This study	

N _{4010-10,000}	10	79	625	Street, Wales, UK	This study	
PM _{10-2.5}			128-147	Barcelona, Spain	Reche et al.,	Size, surface
PM _{2.5-0.1}			28-44	Barcelona, Spain	2012	area
PM ₁₀			37-102	Incense PM; 3 types tested	Chuang et al., 2011	Size, Cu
PM _{2.5-0.1}			185	Urban, Cardiff, UK	Koshy et al.,	Size
PM _{10-2.5}			493	Urban, Cardiff, UK	2009	
PM _{2.5-0.1}			28	Urban-landfill, Cardiff, UK		
PM ₁₀	53-260			Satellite city, suburban Beijing, China	Zhou and Song, 2009	Size, WS Zn
PM ₁₀	28-480			Clean air site, Beijing, China		
PM _{2.5}			10-51	Urban Shanghai, China	Senlin et al., 2008	Size/metals
PM ₁₀			100	Indoor smoker, Beijing, China	Shao et al., 2007	WS metals (Zn)
PM ₁₀			116	Urban, Beijing, China	Shao et al., 2006	WS Zn
TSP			163	1950s black smoke, London, UK	Whittaker et al., 2004	Chemical composition
PM ₁₀			85-106	Industrial area, Wales, UK	Moreno et al., 2004	Chemical composition
PM _{10-2.5}			13	Urban, Cardiff, UK	Greenwell et al., 2002	Chemical composition
PM _{2.5}			20	Urban, Cardiff, UK		

1

2 3.3.3. Comparison to previous studies

3 Calculated TD₅₀ values were within the range of previous studies for different particle size

4 fractions collected in locations worldwide (Table 3), including an industrial area in south

5 Wales (Moreno et al., 2004; TD₅₀ = 85 - 106 µg/ml), indoor particles from a smoker's home

1 in Beijing (Shao et al., 2007; $TD_{50} = 100 \mu\text{g/ml}$), and London 1950s black smoke (Whittaker
2 et al., 2004; $TD_{50} = 163 \mu\text{g/ml}$). In a study by Koshy et al. (2009), particles collected in
3 Cardiff, south Wales were divided into two size fractions; $PM_{2.5-0.1}$ and $PM_{10-2.5}$. Both Cardiff
4 and Swansea can be considered “large” UK cities by population and are located 50 km apart.
5 In the Koshy study (Cardiff) and this study (Swansea), sampling was undertaken centrally to
6 the city. Both sampling sites were located at the edge of busy routes bisecting the cities. By
7 averaging the size fractions, the TD_{50} for fine ($N_{28-2399}$) particles in Swansea was $123 \mu\text{g/ml}$.
8 This is very similar to the TD_{50} identified for Cardiff in the Koshy study for $PM_{2.5-0.1}$ (185
9 $\mu\text{g/ml}$). At both sites traffic particles (particularly exhaust-derived) would have dominated the
10 collected particles in this size fraction, and due to the proximity of the sampling locations
11 (and hence similarities between the two vehicle fleets) this similarity was expected. The
12 increased OR for fine particles in Swansea is probably related to the lower cut off diameter in
13 Swansea (28 nm) than in Cardiff (100 nm). In the coarse size range, the TD_{50} for $PM_{10-2.5}$ in
14 Cardiff was $493 \mu\text{g/ml}$, which was slightly lower than in Swansea ($651 \mu\text{g/ml}$) for $N_{2400-10,000}$.
15 For similar sampling settings in two geographically close cities the ROS generation potential
16 of the PM was similar. This comparability suggests that OR could be generalised more
17 widely than a singular sampling site as long as the site types were the same.
18
19 The results from Swansea suggested lower oxidative activity for fine and coarse particles in
20 comparison to “mega cities” and southern European cities. Senlin et al. (2008) collected
21 $PM_{2.5}$ in an urban area in Shanghai, China. Calculated TD_{50} s were between $10-51 \mu\text{g/ml}$,
22 which was lower than the equivalent size fraction in Swansea ($123 \mu\text{g/ml}$). At an urban site in
23 Beijing, China, for PM_{10} a TD_{50} of $116 \mu\text{g/ml}$ was calculated (Shao et al., 2006). Reche et al.
24 (2012) collected PM in two size fractions ($PM_{10-2.5}$ and $PM_{2.5-0.1}$) at an urban site in SW
25 Barcelona. The particles were collected 150m away from one of the busiest roads in the city
26 with a traffic flow of $132,000 \text{ vehicles day}^{-1}$. The TD_{50} for $PM_{2.5-0.1}$ was between $28-44$

1 $\mu\text{g/ml}$, which was much lower than in Swansea (123 $\mu\text{g/ml}$ for N₂₈₋₂₃₉₉). This shows that for a
2 similar size fraction, the Barcelona PM exhibited greater bioreactivity than Swansea PM. The
3 same was true for coarse particles (128 – 147 $\mu\text{g/ml}$ in Barcelona and 651 $\mu\text{g/ml}$ in Swansea).
4 Barcelona is situated between the mountains and the sea and therefore has one of the highest
5 traffic densities in Europe (6100 cars km^{-2} in comparison to the European average of 1000–
6 1500 cars km^{-2} ; Reche et al., 2012). This could account for the increased oxidative activity of
7 the Barcelona PM. In addition, vehicle factors such as fuel composition, vehicle age and type
8 are likely to contribute to differences in the bioreactivity between the two cities.

10 **3.4 Effect of particle size**

11 The nano-size fractions were capable of generating the greatest bioreactive response, as
12 shown by the TD₅₀ values (Table 3). The N₉₄₋₁₅₅ fraction with a TD₅₀ of 69 $\mu\text{g/ml}$ constituted
13 the most bioreactive fraction, but all the sub-100 nm size fractions exhibited TD₅₀s below 100
14 $\mu\text{g/ml}$. This supported the results of previous PSA studies with similar size-dependent results
15 (Lingard et al., 2005; Koshy et al., 2009; Brown et al., 2011), but improved the size
16 resolution. The mean TD₅₀s calculated for different size fractions were compared statistically
17 using the independent *t*-test. For the comparison, particle data were divided into UF (ultrafine
18 28 nm – 262 nm), fine (263 nm – 2399 nm) and coarse (2400 nm – 10,000 nm). The TD₅₀s
19 for UF and fine size ranges were not found to be statistically significantly different ($t = -.957$,
20 $p > 0.05$), however the TD₅₀s for coarse particles (M = 651 $\mu\text{g/ml}$, SE = 26.0) were found to be
21 higher than UF (M = 108 $\mu\text{g/ml}$, SE = 28.3) and fine (M = 134 $\mu\text{g/ml}$, SE = 8.6) particles, and
22 this difference was significant ($t = -11.982$, $p < 0.05$ and $t = 26.007$, $p < 0.05$ respectively). This
23 shows that there was a statistically significant higher oxidative activity capacity for UF and
24 fine particles in comparison to coarse particles. Classically, low toxicity particles (e.g. CB),
25 below 100 nm, have been shown to induce an increased inflammatory response in
26 comparison to their larger counterparts, and as such particle size is now considered to be a

1 dominant parameter in influencing lung toxicity (Bonner, 2007). In this study, the enhanced
2 size fractionation achieved by using the ELPI has elucidated the variability in TD₅₀ values by
3 size, in comparison to the complex non-fractionated mixtures analysed in previous studies. It
4 has shown for the first time the variability in ROS-generating potential between PM
5 segregated with such high resolution.

6

7 **3.5 Effect of particle surface area**

8 Particle surface area has been proposed as a driving factor for the enhanced OR of
9 nanoparticles compared to larger particles. Ultrafine particles feature a higher surface area to
10 mass ratio, and therefore, increased surface area per µg in contact with cellular macromoles
11 (i.e. DNA) from which to exert their toxic effects. The surface area per microgram of
12 particles was calculated for all size fractions using a combination of averaged mass and
13 number data acquired by the ELPI during the measurements (Figure 5). This was plotted
14 against the TD₅₀ for the corresponding size fraction to illustrate the quantitative relationship
15 between particle surface area and the TD₅₀ with high size resolution. An increase in particle
16 surface area was associated with a lower TD₅₀, i.e. greater OR, in comparison to the size
17 fractions with lower surface areas per mass (µg) of particles. It should be noted that the ELPI
18 size fractionates particles using the aerodynamic diameter which assumes a spherical particle
19 shape. While some urban air particles are spherical (e.g. exhaust PM), there are numerous
20 other morphologies observed, e.g. cubic sea salt and elongate flaky mineral particles. The
21 surface area determinations calculated in this study are therefore considered minimum values,
22 and in reality are probably much higher. The trend noted relies on the high TD₅₀s of the two
23 most coarse particle fractions and suggests a two-tiered effect for nano/fine and coarse
24 particles as statistically determined previously.

25

26 **3.6 Effect of particle-bound transition metals**

1 Surface-bound transition metals are considered to be a further source of OR in PM₁₀ (e.g.
2 Lingard et al., 2005). Below 200 nm, PM is generally considered to consist of a carbon core
3 with surface metals. A comparison was made between the TD_{35s} of the urban PM between
4 100 and 400 nm, and CB-1 (Figure 6), which was found to consist of 100-250 nm diameter
5 carbon particles with <1% transition metal content (Table 1). Only CB-1 was compared since
6 CB-2 was not capable of generating either a TD₅₀ or TD₃₅ using non-linear modelling. The
7 TD₃₅ values for the urban PM were up to four times lower than for the CB. In contrast to the
8 <1% transition metal content of the CB particles, the urban PM was comprised of between 14
9 and 24% transition metals (by weight). At this site Zn and Fe were important metals in the
10 PM; Zn was the dominant transition metal below 615 nm and Fe was the dominant transition
11 metal above 616 nm. The enhanced OR of UF and fine particles in comparison to CB
12 suggests a driving role for Zn in this study, though this could not be proven statistically.

13
14 In a previous study, a similar comparison was made, and the greater inflammatory response
15 from fine PM in comparison to CB was attributed to the surface coating of the fine particles
16 (Pozzi et al., 2003). Transition metals are hypothesised to cause oxidative damage by the
17 generation of ROS through Fenton type reactions and act as catalysts for Haber-Weiss
18 reactions (Könczöl et al., 2013). In a previous study in south Wales, UK, lower TD₅₀ levels
19 were associated with Fe, Mn, Ni, Molybdenum (Mo), and especially Zn (Moreno et al.,
20 2004). A study in Beijing found a negative relationship between the TD₂₀ and Zn levels
21 (Zhou and Song, 2009), and a study in Xuan Wei, China also found a link between high PM
22 Zn levels and low TD_{50s} (Shao et al., 2013). Fe in PM₁₀ has also been implicated in mediating
23 oxidative damage by driving a modified Haber-Weiss or Fenton reaction (Mossman et al.,
24 2007), resulting in the generation of the hydroxyl radical ([•]OH). It is also important to note
25 that in addition to particle size/surface area and transition metals, there may also be other

1 particle properties which drive the oxidative response which were not analysed in this study
2 (e.g. particle charge and organic constituents).

3

4 **4. Conclusion**

5 The PSA is an early stage assay for testing the OR of particles and can be considered an
6 initial step towards investigating more holistic health effects of inhalation exposure to PM₁₀.

7 In this study, the oxidative potential of PM₁₀ was linked with particle size and surface area;
8 particles within the ultrafine and fine fractions were capable of generating higher levels of
9 oxidative activity than coarse particles. Despite similar particle sizes, the CB particles were
10 less bioreactive than PM₁₀ (by TD₃₅), suggesting the generation of oxidative stress by surface
11 reactions on the PM₁₀. An investigation of the transition metal content of the PM implicated
12 Zn (and Fe) in the generation of oxidative activity, though this was not proven directly.

13

14 This study has added to previous work by using an enhanced particle size resolution; this
15 allowed the most in-depth analysis of the effect of particle size on OR using the PSA that has
16 been completed to date. A comparison between PM and a surrogate particle type, CB,
17 provided a method to test the contribution to OR of the non-size related physicochemical
18 characteristics. Working with ‘cocktails’ of PM₁₀ from different sources has many
19 confounding factors which complicate the identification of the driver of any biological effect.

20 In this study size segregating the particles was a useful step towards disentangling particles
21 from different sources and with different properties. Future work should continue analysing
22 the OR of particles subdivided into as many size fractions as possible, while moving towards
23 more comprehensive assessment systems, e.g. further *in vitro* assays, testing on cell lines and
24 *in vivo* work. In addition, the use of interventions, e.g. chelators could be used to provide
25 information on the specific chemical constituents which caused OR. Developing our
26 understanding of the particle properties responsible for the observed health impacts from

1 PM₁₀ is important as it has the potential to allow the development of source-specific air
2 quality policy and for PM reduction targeting.

3

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6

7 **Competing interests**

8 The authors declare that they have no competing interests.

9

10 **Author's contributions.**

11 HP carried out the fieldwork, chemical analyses, oxidative reactivity assay and wrote the first
12 draft of the manuscript. TJ was involved in the design of the study and helped with fieldwork.

13 KB was involved in the design of the study, oxidative reactivity assays and revisions of the
14 manuscript. All authors read and approved the final manuscript.

15

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Final draft

1 **Figures**

2

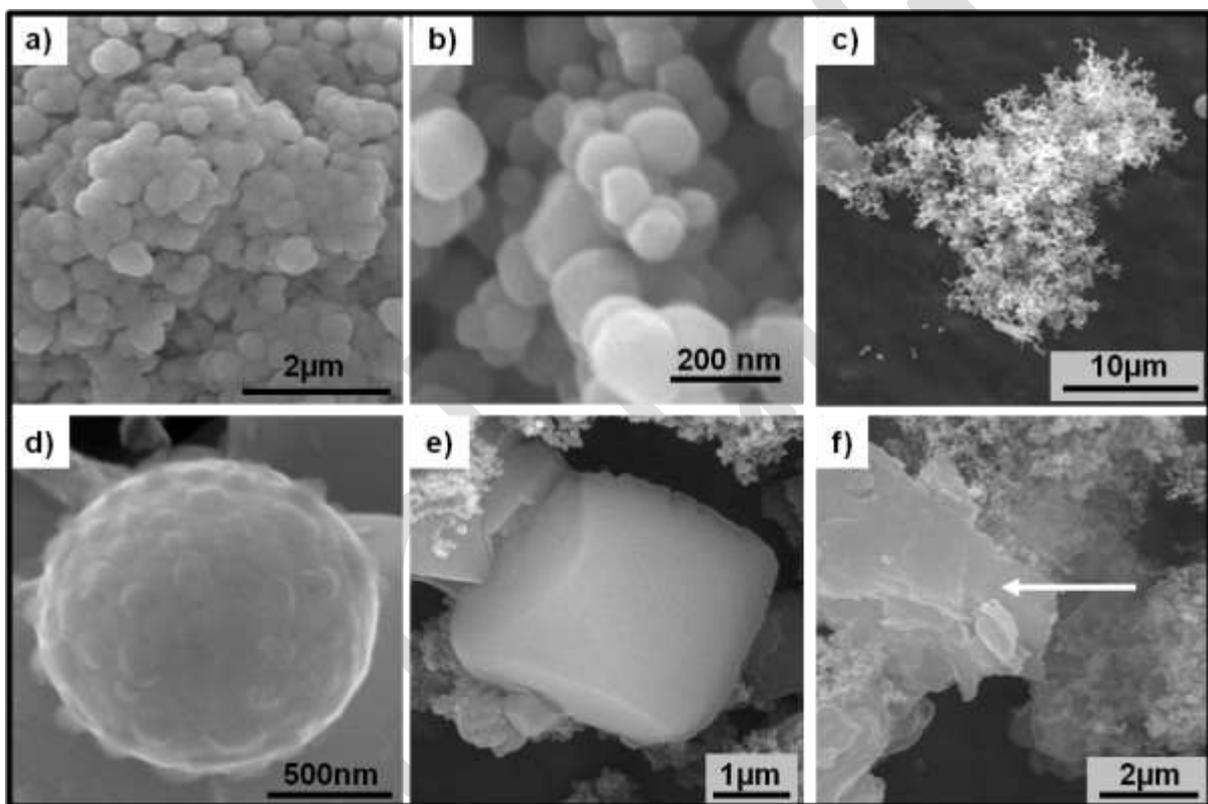
3 **Figure 1:** Morphology of typical particles identified during sampling at an urban street

4 canyon; a) and b) combustion derived particles, c) agglomerate of combustion derived

5 particles, d) spherical particle with nodular surface and C-signature suggesting a biogenic

6 particle, e) aged NaCl particle, and f) mineral

7 particle.

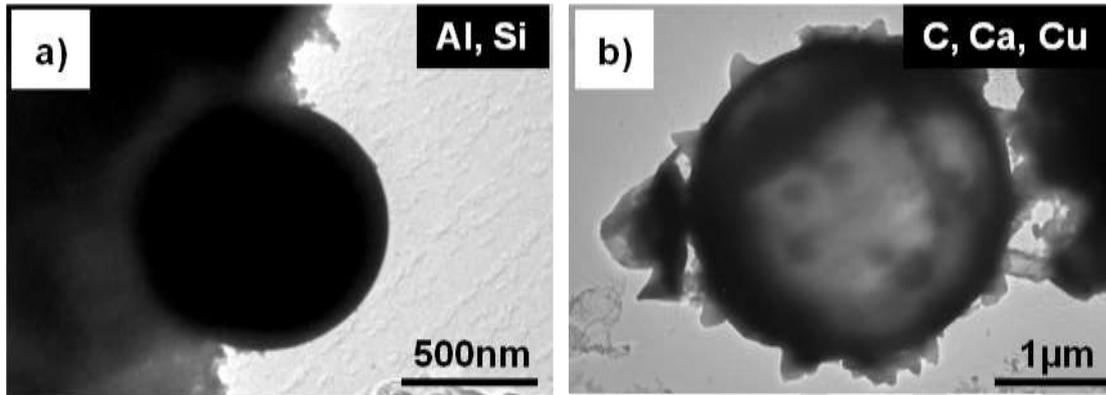


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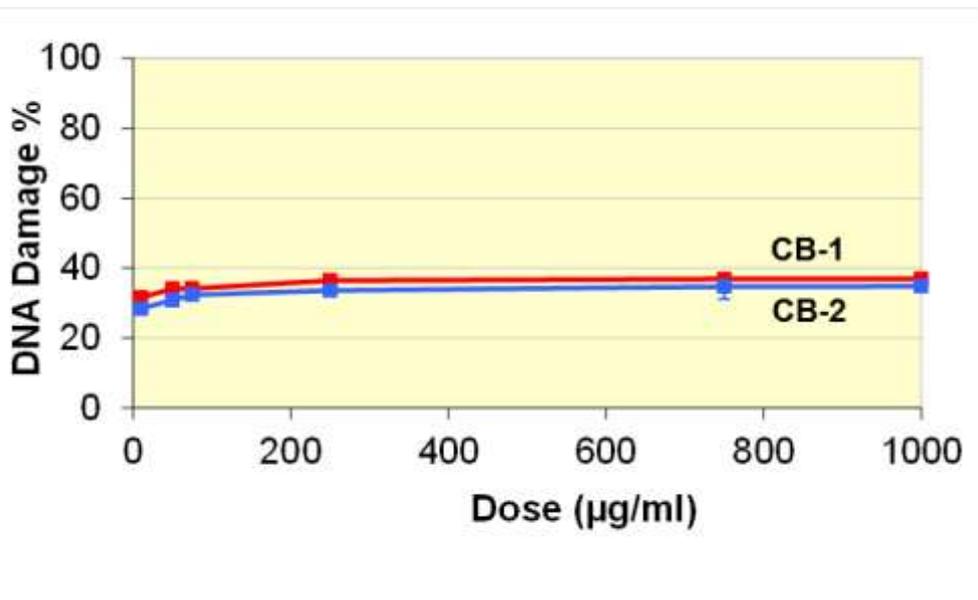
1 **Figure 2:** Industrial particles were generally identified in the fine size range; a) aluminium
2 silicate glass particle of 1 μm diameter, and b) spherical carbon-dominated particle of 2.5 μm
3 diameter, both identified using HR-TEM/ EPXMA.



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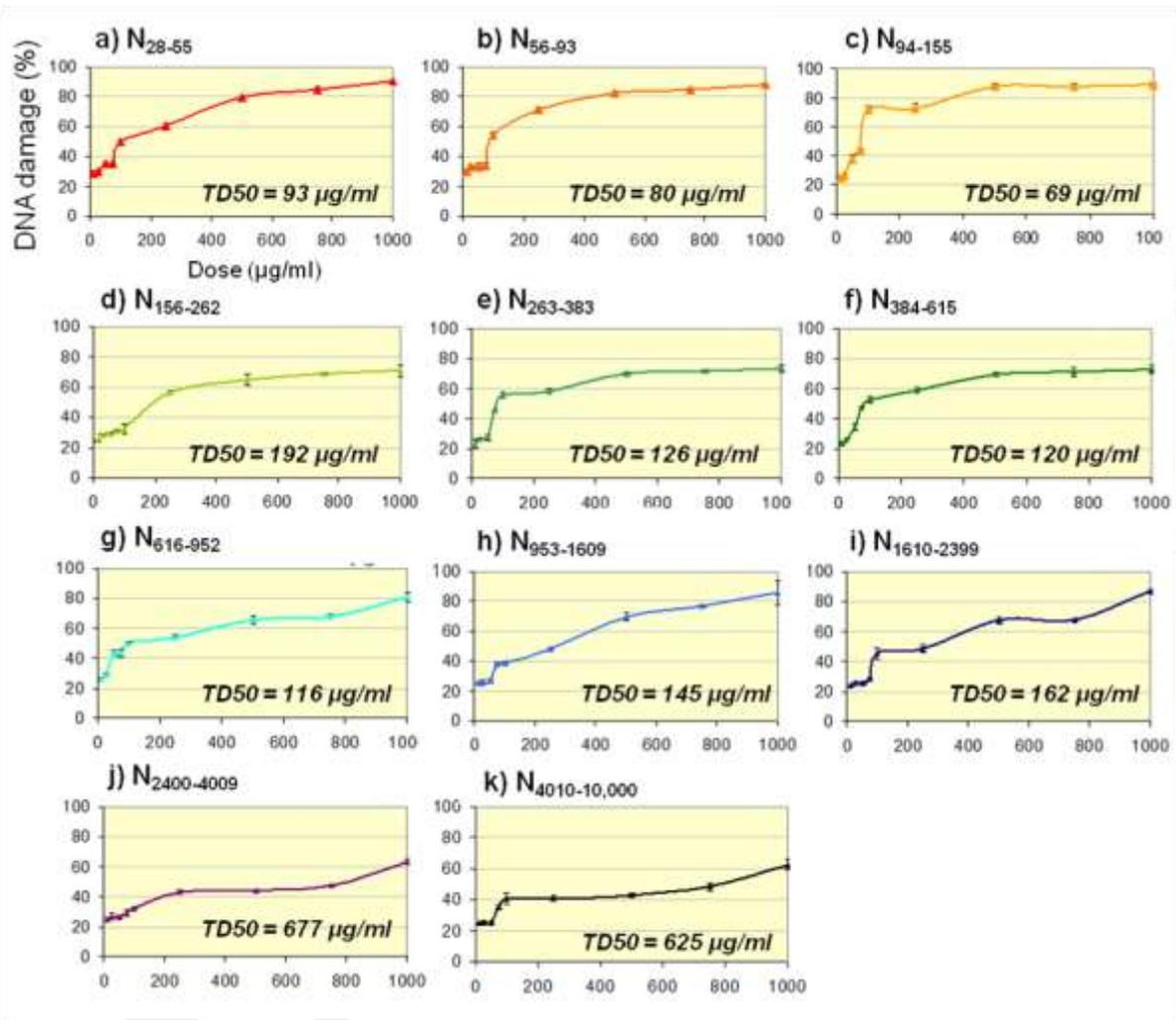
- 1 **Figure 3:** Concentration-response profiles for the carbon black particles; CB-1 and CB-2.
- 2 Error bars represent 1 SD \pm mean, based on n=5.



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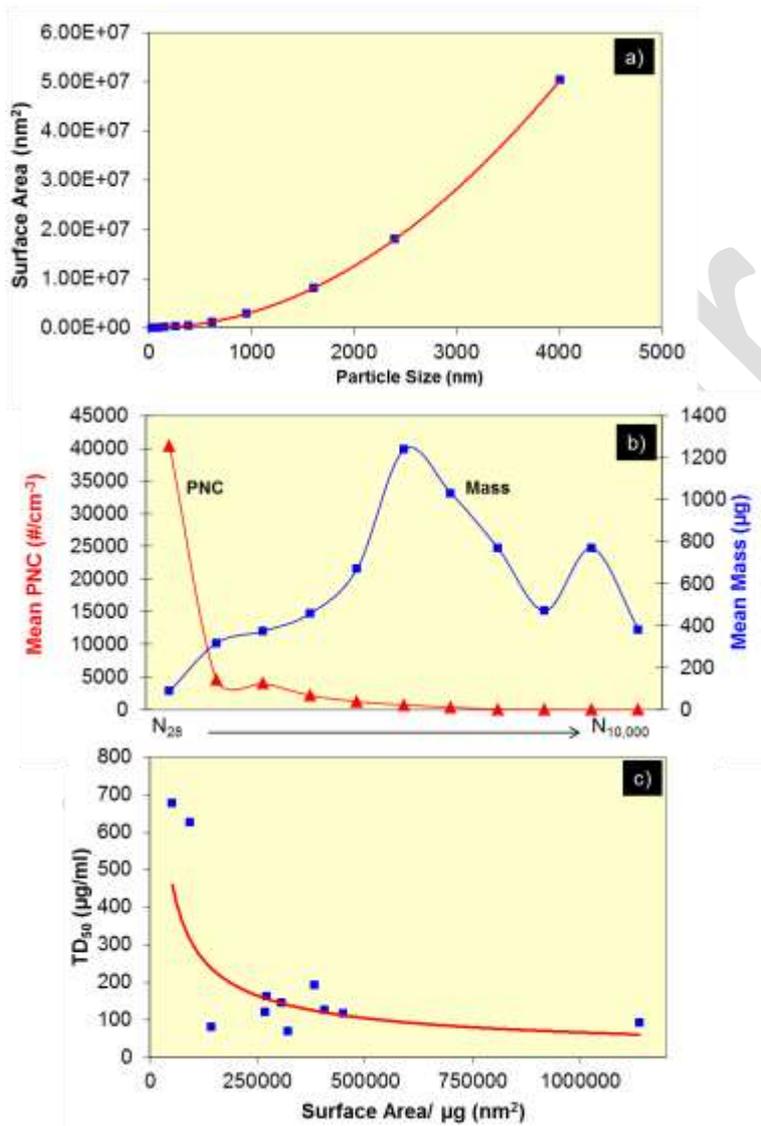
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1 **Figure 4:** Concentration-response profiles for street canyon PM₁₀ (N_{28-N10,000}, a-k). Graphs
2 depict mean ± 1 SD, n = 5. Profiles (a) and (b) represent size fractions below 100nm. Profiles
3 (c) to (i) illustrate results for fine particulate matter. Profiles (j) and (k) are the coarse PM
4 fraction. TD₅₀ values were calculated using a non-linear regression model.



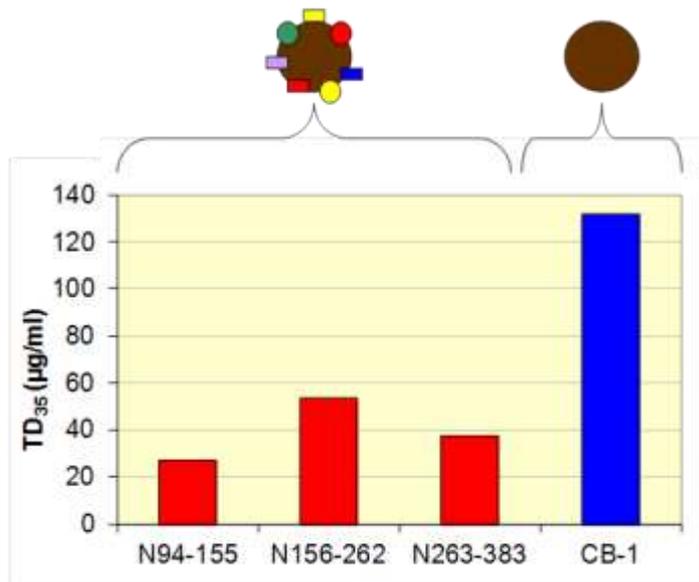
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1 **Figure 5:** An increase in surface area (SA) is linked with an increase in oxidative reactivity;
 2 comparison of TD₅₀ and SA for the different PM₁₀ fractions; (a) increase in SA with particle
 3 size, (b) change in particle mass and particle number in the different particle size fractions.
 4 Mass = 1 week average. PNC is depicted by triangles and mass is depicted by squares, (c)
 5 scatter plot comparing the calculated SA per microgram of sample with the TD₅₀ for each
 6 ELPI size fraction.



7
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1 **Figure 6:** Comparison of carbon black particles (CB-1; 100 - 250 nm) and street canyon
2 particles of an equivalent size; N₉₄₋₁₅₅, N₁₅₆₋₂₆₂ and N₂₆₃₋₃₈₃, with their TD₃₅ values in µg/ml.
3 Above the graph is shown in diagrammatic form the differential composition of the CB
4 particles and street canyon particles; the CB particles consisted of a carbon core only, whilst
5 the urban air PM was comprised of a carbon core with surface-bound species.



6
7